

Statement of Compliance (SOC) - Electronic Completion

Instructions for Companies to Attach in SERFF:

1. Download and save SOC to your documents
2. Type in fields
3. Once completed, print to pdf:
 - a. click print
 - b. select Adobe pdf
 - c. click print (this will prompt you to save file)
 - d. save a final SOC
4. The above print to pdf document should be the one attached in SERFF

This will allow companies to attach the electronically completed SOC and still pdf pipeline the filing without losing any data in the SOC.